**Research Paper** 

# White matter hyperintensities associated with progression of cerebral small vessel disease: a 7-year Chinese urban community study

Yiwei Xia<sup>1,\*</sup>, Yi Shen<sup>3,\*</sup>, Yi Wang<sup>1</sup>, Lumeng Yang<sup>1</sup>, Yiqing Wang<sup>1</sup>, Yu Li<sup>3</sup>, Xiaoniu Liang<sup>2</sup>, Qianhua Zhao<sup>2</sup>, Jianjun Wu<sup>1,4</sup>, Shuguang Chu<sup>5</sup>, Zonghui Liang<sup>6</sup>, Xiaoxiao Wang<sup>3</sup>, Bensheng Qiu<sup>3</sup>, Hansheng Ding<sup>7</sup>, Ding Ding<sup>2</sup>, Xin Cheng<sup>1</sup>, Qiang Dong<sup>1</sup>

<sup>1</sup>Department of Neurology, National Clinical Research Centre for Aging and Medicine, Huashan Hospital, State Key Laboratory of Medical Neurobiology, Fudan University, Shanghai, China

<sup>2</sup>Institute of Neurology, National Clinical Research Centre for Aging and Medicine, Huashan Hospital, Fudan University, Shanghai, China

<sup>3</sup>Centers for Biomedical Engineering, University of Science and Technology of China, Hefei, Anhui, China <sup>4</sup>Department of Neurology, Jing'an District Center Hospital, Shanghai, China

<sup>5</sup>Department of Radiology, Shanghai East Hospital, Tongji University School of Medicine, Shanghai, China <sup>6</sup>Department of Radiology, Jing'an District Center Hospital, Shanghai, China

<sup>7</sup>Shanghai Health Development Research Center (Shanghai Medical Information Center), Shanghai, China \*Equal contribution

Correspondence to: Xin Cheng, Qiang Dong; email: <a href="mailto:chengxin@fudan.edu.cn">chengxin@fudan.edu.cn</a>, <a href="mailto:giang\_dong163@163.com">giang\_dong163@163.com</a>Keywords: white matter hyperintensities, lacunes, cerebral microbleeds, enlarged perivascular spaces, cognitionReceived: November 8, 2019Accepted: April 16, 2020Published: May 10, 2020

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# ABSTRACT

We aimed to explore the role of white matter hyperintensities (WMH) in progression of cerebral small vessel disease (CSVD) in an urban community in China over a period of 7 years, and to investigate associations between WMH volume (baseline and progression) and cognitive impairment. CSVD markers and neuropsychological tests at baseline and follow-up of 191 participants of the Shanghai Aging Study (SAS) were assessed. WMH volume were assessed by automatic segmentation based on U-net model. Lacunes, cerebral microbleeds (CMBs) and enlarged perivascular spaces (ePVS) were rated manually. Small vessel disease (SVD) score was rated as the total burden of CSVD markers. Global cognitive function and 5 main cognitive domains (memory, language, spatial construction, attention and executive function) were evaluated by neuropsychological tests. We performed multivariable linear regression of WMH volume, increased risk of incident lacunes, incident CMBs, and ePVS progression. WMH (baseline and progression) were associated with decline of executive function. WMH were associated with progression of cerebral small vessel disease and decline of executive function in a Chinese urban community study over a period of 7 years.

# **INTRODUCTION**

Brain imaging studies in the general population have demonstrated that markers of cerebral small vessel disease (CSVD), including white matter hyperintensities (WMH), lacunes, cerebral microbleeds (CMBs), and enlarged perivascular spaces (ePVS), are highly prevalent in individuals over 60 years of age [1–4]. CSVD markers, and their progression, have been recognized as important vascular contributors to cognitive impairment and dementia [5, 6]. Therefore, investigation on progression of these markers is crucial to better understanding of both etiology and consequences of CSVD.

WMH is the most common marker among these CSVD markers, detected in approximately 90% of individuals older than 60 years of age in the general population [7]. Several longitudinal community studies indicated that high burden of WMH at baseline was associated with progression of WMH [8–11], incident CMBs [2, 12] and progression of ePVS [13]. However, the association between baseline WMH and incident lacunes remains uncertain, though one study validated this association in a hospital cohort [14].

Since WMH are associated with the progression of other markers, WMH might be an early precursor in the progression of CSVD. However, one study found that baseline ePVS was significantly associated with an increased risk of lacunes, CMBs, and progression of WMH, suggesting that ePVS might be an early precursor [13]. Therefore, investigation on the role of baseline WMH in the progression of all these markers would help to disentangle the complex interplay between these markers.

Current knowledge regarding progression of CSVD in China is limited due to lack of longitudinal studies based on Chinese community. Two cross-sectional studies [15, 16] in rural regions of China described the prevalence of CSVD markers, but neither of them provided data on progression of CSVD.

In this longitudinal study, we systematically explored the associations between baseline WMH volume and progression of all these CSVD markers in an urban community in China over a period of 7 years. In addition, we investigated the associations between WMH volume (baseline and progression) and cognitive impairment.

# **RESULTS**

Characteristics of the 191 participants at baseline and follow-up were presented in Table 1. Median age at baseline was 68.1 years and 43.5% were male. Mean follow-up duration was  $6.9 \pm 0.4$  years (minimum, 4.7 years; maximum, 8.0 years). Significant changes from baseline to follow-up were observed in CSVD markers including WMH volume, CMBs and extensive ePVS, SVD score, and cognitive function including MMSE, memory, spatial construction, attention and executive function. Those lost to follow-up were significantly older and had more baseline vascular risk factors, CSVD burden and worse cognitive function compared to those followed (Supplementary Table 1).

#### Role of WMH in progression of CSVD

The associations between baseline CSVD markers and progression of each marker were demonstrated in Table 2. Participants with more baseline WMH volume developed more change of WMH volume ( $\beta$ =0.25, 95%CI 0.12-0.38), more risk of incident lacunes (OR 3.78, 95%CI 1.35-10.62), incident CMBs (OR 4.45, 95%CI 1.41-14.03), and ePVS progression (OR 2.98, 95%CI 1.22-7.28) (Model 1). When further adjusted for demographic factors, vascular risk factors and medication use (Model 2, Model 3, Model 4), all associations persisted. The associations did not change when measuring baseline WMH by Fazekas score (Supplementary Table 2). Higher baseline SVD score was associated with more change of WMH volume, more risk of incident lacunes and incident CMBs (Supplementary Table 3).

#### Heterogeneity in progression of CSVD

We plotted progression of CSVD markers by baseline WMH volume in tertile (Figures 1, 2). Median change of WMH volume over 7 years was 0.24% for all participants, 0.14% for participants with 1st tertile WMH volume at baseline, 0.29% for those with 2nd tertile WMH, and 0.30% for those with 3rd tertile WMH. The heterogeneity in change of WMH was significant (P=0.024). Incident lacunes over 7 years developed in 19 of all 191 participants (9.9%). 2 of 64 (3.13%) with 1st tertile WMH at baseline developed incident lacunes; 5 of 64 (7.81%) with 2nd tertile WMH developed incident lacunes; 12 of 63 (19.0%) with 3rd tertile WMH developed incident lacunes. The heterogeneity in incident lacunes was significant (P=0.011). Incident CMBs over 7 years developed in 46 of all 191participants (28.9%). 8 of 64 (12.5%) with 1st tertile WMH at baseline developed incident CMBs; 15 of 64 (23.4%) with 2nd tertile WMH developed incident CMBs; 23 of 63 (36.5%) with 3rd tertile WMH developed incident CMBs. The heterogeneity in incident CMBs was significant (P=0.007). ePVS progression over 7 years developed in 65 of all participants (34.0%). 22 of 64 (34.4%) with 1st tertile WMH at baseline had ePVS progression; 21 of 64 (32.8%) with 2nd tertile WMH had ePVS progression; 22 of 63 (34.9%) with 3rd tertile WMH had ePVS progression. The heterogeneity in ePVS progression was not significant (P=0.967).

#### WMH and change of cognitive function

The relationships between CSVD markers (baseline and progression) and change of cognitive function were demonstrated in Tables 3 and 4, adjusted for age, sex, interval, education years and ApoE  $\epsilon$ 4 carriers. Higher burden of baseline WMH volume was associated with increased decline of MMSE ( $\beta$ =-0.95, P=0.036) and executive function ( $\beta$ =-0.45, P=0.004). Increased change of WMH volume was associated with increased decline of executive function ( $\beta$ =-0.50, P=0.010). In addition, increased ePVS progression was associated with

Image: big state         Feasibility         Feasibility         Permographics           Demographics			• • • •		
DemographicsAge, y, median (IQR) $68.1(63 to 72.6)$ $74.6(69.6 to 79.3)$ $6.0 (6.0 to 7.0)$ -Sex, male, n (%) $83(43.5)$ $83(43.5)$ $-$ -Education, y, median (IQR) $12(9 to 15)$ $12(9 to 15)$ $-$ -Vascular risk factorsBody mass index, kg/m², meidan (IQR) $24.5(21.9 to 27.3)$ $23.4(21.6 to 26.1)$ $-1.0(-2.3 to 0.3)$ $<0.001$ ApoE e4 carriers, n (%) $26(14.0)$ $26(14.0)$ Current smoking, n (%) $21(11.0)$ $15(7.9)$ $-6(3.1)$ $0.293$ Hypertension, n (%) $90 (47.1)$ $107(56.0)$ $17(8.9)$ $0.082$ Diabetes, n (%) $20(10.5)$ $28(14.7)$ $8(4.2)$ $0.217$ Hypertipidemia, n (%) $74(38.7)$ $85(44.5)$ $11(5.8)$ $0.254$ Cardiogenic disease, n (%) $19(10.0)$ $28(14.7)$ $9(4.7)$ $0.161$ Medication use, n (%) $74(38.7)$ $35(14.5)$ $116(8.4)$ $0.051$ Lipid lowering $7(3.7)$ $36(18.9)$ $29(15.2)$ $<0.001$ Antidpateic $17(8.9)$ $33(17.3)$ $16(8.4)$ $0.052$ Lipid lowering $7(3.7)$ $36(18.9)$ $29(1.5.2)$ $<0.001$ Antilpatelet / anticogulation $33(17.3)$ $31(6.8)$ $0.062$ CVD markers $WMH$ volume, %, meidan (IQR) $0.29(0.15 to 0.52)$ $0.59(0.29 to 0.92)$ $0.24 (0.07 to 0.45)$ $<0.001$ Lacunes, n (%) $22(11.5)$ $35(18.3)$ $31 (6.2)$ $<0.001$ SVD score22, n (%		Baseline (n=191)	Follow-up (n=191)	Change	Р
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Education, y, median (IQR)         12(9 to 15)         12(9 to 15)         -         -           Vascular risk factors         5	Sex, male, n (%)	83(43.5)	83(43.5)	-	-
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Current smoking, n (%)21(11.0)15(7.9)-6(3.1)0.293Hypertension, n (%)90 (47.1)107(56.0)17(8.9)0.082Diabetes, n (%)20(10.5)28(14.7)8(4.2)0.217Hyperlipidemia, n (%)74(38.7)85(44.5)11(5.8)0.254Cardiogenic disease, n (%)19(10.0)28(14.7)9(4.7)0.161Medication use, n (%)84(4.0)106(55.5)22(11.5)0.024Antihypertensive84(44.0)106(55.5)22(11.5)0.024Antidiabetic17(8.9)33(17.3)16(8.4)0.015Lipid lowering7(3.7)36(18.9)29(15.2)<0.001	ApoE ε4 carriers, n (%)	26(14.0)	26(14.0)	-	-
Hypertension, n (%)90 (47.1)107(56.0)17(8.9)0.082Diabetes, n (%)20(10.5)28(14.7)8(4.2)0.217Hyperlipidemia, n (%)74(38.7)85(44.5)11(5.8)0.254Cardiogenic disease, n (%)19(10.0)28(14.7)9(4.7)0.161Medication use, n (%)19(10.0)28(14.7)9(4.7)0.024Antihypertensive84(44.0)106(55.5)22(11.5)0.024Antidiabetic17(8.9)33(17.3)16(8.4)0.015Lipid lowering7(3.7)36(18.9)29(15.2)<0.001	Current smoking, n (%)	21(11.0)	15(7.9)	-6(3.1)	0.293
Diabetes, n (%) $20(10.5)$ $28(14.7)$ $8(4.2)$ $0.217$ Hyperlipidemia, n (%) $74(38.7)$ $85(44.5)$ $11(5.8)$ $0.254$ Cardiogenic disease, n (%) $19(10.0)$ $28(14.7)$ $9(4.7)$ $0.161$ Medication use, n (%) $84(44.0)$ $106(55.5)$ $22(11.5)$ $0.024$ Antidiabetic $17(8.9)$ $33(17.3)$ $16(8.4)$ $0.015$ Lipid lowering $7(3.7)$ $36(18.9)$ $29(15.2)$ $<0.001$ Antiplatelet / anticoagulation $33(17.3)$ $31(16.2)$ $-2(1.1)$ $0.784$ CSVD markers $V$ $V$ $V$ $V$ $0.001$ Lacunes, n (%) $22(11.5)$ $35(18.3)$ $13(6.8)$ $0.062$ CMBs, n (%) <sup>3</sup> $16(10.1)$ $53(33.3)$ $37(23.3)$ $<0.001$ Extensive ePVS, n (%) $23(12.0)$ $54(28.3)$ $31(16.2)$ $<0.001$ SVD score $\geq 2, n(%)^a$ $17(10.7)$ $49(0.8)$ $32(20.1)$ $<0.001$ MMSE $29(28 to 30)$ $29(27 to 29)$ $-1(-2 to 0)$ $<0.001$ Memory $0.31(-0.36 to 0.88)$ $-0.32(-0.89 to 0.23)$ $-0.61(-1.24 to -0.02)$ $<0.001$ Language $0.36(-0.07 to 0.57)$ $0.25(0 to 0.47)$ $0(-0.22 to 0.22)$ $0.474$ Spatial construction $0.11(-0.61 to 0.83)$ $0(-0.61 to 0.59)$ $-0.24(-0.96 to 0.24)$ $0.033$ Attention $-0.02(-0.54 to 0.60)$ $-0.34(-0.90 to 0.11)$ $-0.34(-0.92 to 0.50)$ $<0.001$	Hypertension, n (%)	90 (47.1)	107(56.0)	17(8.9)	0.082
Hyperlipidemia, n (%)74(38.7)85(44.5)11(5.8)0.254Cardiogenic disease, n (%)19(10.0)28(14.7)9(4.7)0.161Medication use, n (%)106(55.5)22(11.5)0.024Antihypertensive84(44.0)106(55.5)22(11.5)0.024Antidiabetic17(8.9)33(17.3)16(8.4)0.015Lipid lowering7(3.7)36(18.9)29(15.2)<0.001	Diabetes, n (%)	20(10.5)	28(14.7)	8(4.2)	0.217
Cardiogenic disease, n (%)         19(10.0)         28(14.7)         9(4.7)         0.161           Medication use, n (%) <td>Hyperlipidemia, n (%)</td> <td>74(38.7)</td> <td>85(44.5)</td> <td>11(5.8)</td> <td>0.254</td>	Hyperlipidemia, n (%)	74(38.7)	85(44.5)	11(5.8)	0.254
Medication use, n (%)Antihypertensive $84(44.0)$ $106(55.5)$ $22(11.5)$ $0.024$ Antidiabetic $17(8.9)$ $33(17.3)$ $16(8.4)$ $0.015$ Lipid lowering $7(3.7)$ $36(18.9)$ $29(15.2)$ $<0.001$ Antiplatelet / anticoagulation $33(17.3)$ $31(16.2)$ $-2(1.1)$ $0.784$ CSVD markers $222(11.5)$ $0.59(0.29 to 0.92)$ $0.24(0.07 to 0.45)$ $<0.001$ Lacunes, n (%) $222(11.5)$ $35(18.3)$ $13(6.8)$ $0.062$ CMBs, n (%) <sup>a</sup> $16(10.1)$ $53(33.3)$ $37(23.3)$ $<0.001$ Extensive ePVS, n (%) $23(12.0)$ $54(28.3)$ $31(16.2)$ $<0.001$ SVD score $\geq 2$ , n(%) <sup>a</sup> $17(10.7)$ $49(30.8)$ $32(20.1)$ $<0.001$ MMSE $29(28 to 30)$ $29(27 to 29)$ $-1(-2 to 0)$ $<0.001$ Memory $0.31(-0.36 to 0.88)$ $-0.32(-0.89 to 0.23)$ $-0.61(-1.24 to -0.02)$ $<0.001$ Language $0.36(-0.07 to 0.57)$ $0.25(0 to 0.47)$ $0(-0.22 to 0.22)$ $0.474$ Spatial construction $0.11(-0.61 to 0.83)$ $0(-0.61 to 0.59)$ $-0.24(-0.96 to 0.24)$ $0.033$ Attention $-0.02(-0.54 to 0.60)$ $-0.34(-0.90 to 0.11)$ $-0.34(-0.92 to 0.50)$ $<0.001$	Cardiogenic disease, n (%)	19(10.0)	28(14.7)	9(4.7)	0.161
Antihypertensive $84(44.0)$ $106(55.5)$ $22(11.5)$ $0.024$ Antidiabetic $17(8.9)$ $33(17.3)$ $16(8.4)$ $0.015$ Lipid lowering $7(3.7)$ $36(18.9)$ $29(15.2)$ $<0.001$ Antiplatelet / anticoagulation $33(17.3)$ $31(16.2)$ $-2(1.1)$ $0.784$ CSVD markers $V$ $V$ $V$ $-2(1.1)$ $0.784$ WMH volume, %, meidan (IQR) $0.29(0.15 to 0.52)$ $0.59(0.29 to 0.92)$ $0.24 (0.07 to 0.45)$ $<0.001$ Lacunes, $n (\%)$ $22 (11.5)$ $35 (18.3)$ $13 (6.8)$ $0.062$ CMBs, $n (\%)^a$ $16 (10.1)$ $53 (33.3)$ $37 (23.3)$ $<0.001$ Extensive ePVS, $n (\%)$ $23 (12.0)$ $54 (28.3)$ $31 (16.2)$ $<0.001$ SVD score $\geq 2, n(\%)^a$ $17(10.7)$ $49(30.8)$ $32(20.1)$ $<0.001$ MMSE $29 (28 to 30)$ $29 (27 to 29)$ $-1(-2 to 0)$ $<0.001$ Memory $0.31(-0.36 to 0.88)$ $-0.32(-0.89 to 0.23)$ $-0.61 (-1.24 to -0.02)$ $<0.001$ Language $0.36 (-0.07 to 0.57)$ $0.25 (0 to 0.47)$ $0 (-0.22 to 0.22)$ $0.474$ Spatial construction $0.11 (-0.61 to 0.83)$ $0(-0.61 to 0.59)$ $-0.24 (-0.96 to 0.24)$ $0.033$ Attention $-0.02(-0.54 to 0.60)$ $-0.34(-0.90 to 0.11)$ $-0.34 (-0.92 to 0.50)$ $<0.001$ Executive function $0.07 (-0.99 to 0.23)$ $0.07(-0.25 to 0.23)$ $0 (-0.32 to 0)$ $<0.001$	Medication use, n (%)				
Antidiabetic $17(8.9)$ $33(17.3)$ $16(8.4)$ $0.015$ Lipid lowering $7(3.7)$ $36(18.9)$ $29(15.2)$ $<0.001$ Antiplatelet / anticoagulation $33(17.3)$ $31(16.2)$ $-2(1.1)$ $0.784$ CSVD markers $V$ $V$ $V$ $-2(1.1)$ $0.784$ WMH volume, %, meidan (IQR) $0.29(0.15 to 0.52)$ $0.59(0.29 to 0.92)$ $0.24 (0.07 to 0.45)$ $<0.001$ Lacunes, n (%) $22 (11.5)$ $35 (18.3)$ $13 (6.8)$ $0.062$ CMBs, n (%) <sup>a</sup> $16 (10.1)$ $53 (33.3)$ $37 (23.3)$ $<0.001$ Extensive ePVS, n (%) $23 (12.0)$ $54 (28.3)$ $31 (16.2)$ $<0.001$ SVD score $\geq 2$ , n(%) <sup>a</sup> $17(10.7)$ $49(30.8)$ $32(20.1)$ $<0.001$ MMSE $29 (28 to 30)$ $29 (27 to 29)$ $-1(-2 to 0)$ $<0.001$ Memory $0.31(-0.36 to 0.88)$ $-0.32(-0.89 to 0.23)$ $-0.61 (-1.24 to -0.02)$ $<0.001$ Language $0.36 (-0.07 to 0.57)$ $0.25 (0 to 0.47)$ $0(-0.22 to 0.22)$ $0.474$ Spatial construction $0.11 (-0.61 to 0.83)$ $0(-0.61 to 0.59)$ $-0.24 (-0.96 to 0.24)$ $0.033$ Attention $-0.02(-0.54 to 0.60)$ $-0.34(-0.90 to 0.11)$ $-0.34 (-0.92 to 0.50)$ $<0.001$ Executive function $0.07 (-0.99 to 0.23)$ $0.7(-0.25 to 0.23)$ $0(-0.32 to 0.9)$ $<0.328$	Antihypertensive	84(44.0)	106(55.5)	22(11.5)	0.024
Lipid lowering $7(3.7)$ $36(18.9)$ $29(15.2)$ $<0.001$ Antiplatelt / anticoagulation $33(17.3)$ $31(16.2)$ $-2(1.1)$ $0.784$ CSVD markers $V$ $V$ $V$ $V$ $V$ $V$ $V$ $V$ WMH volume, %, meidan (IQR) $0.29(0.15 to 0.52)$ $0.59(0.29 to 0.92)$ $0.24 (0.07 to 0.45)$ $<0.001$ Lacunes, n (%) $22 (11.5)$ $35 (18.3)$ $13 (6.8)$ $0.062$ CMBs, n (%) <sup>a</sup> $16 (10.1)$ $53 (33.3)$ $37 (23.3)$ $<0.001$ Extensive ePVS, n (%) $23 (12.0)$ $54 (28.3)$ $31 (16.2)$ $<0.001$ SVD score $\geq 2, n(%)^a$ $17(10.7)$ $49(30.8)$ $32(20.1)$ $<0.001$ MMSE $29 (28 to 30)$ $29 (27 to 29)$ $-1(-2 to 0)$ $<0.001$ Memory $0.31(-0.36 to 0.88)$ $-0.32(-0.89 to 0.23)$ $-0.61 (-1.24 to -0.02)$ $<0.001$ Language $0.36 (-0.07 to 0.57)$ $0.25 (0 to 0.47)$ $0 (-0.22 to 0.22)$ $0.474$ Spatial construction $0.11 (-0.61 to 0.83)$ $0 (-0.61 to 0.59)$ $-0.24 (-0.96 to 0.24)$ $0.033$ Attention $-0.02(-0.54 to 0.60)$ $-0.34(-0.90 to 0.11)$ $-0.34 (-0.92 to 0.50)$ $<0.001$ Executive function $0.07 (-0.09 to 0.23)$ $0.07(-0.25 to 0.23)$ $0 (-0.32 to 0)$ $<0.001$	Antidiabetic	17(8.9)	33(17.3)	16(8.4)	0.015
Antiplatelet / anticoagulation $33(17.3)$ $31(16.2)$ $-2(1.1)$ $0.784$ CSVD markersWMH volume, %, meidan (IQR) $0.29(0.15 to 0.52)$ $0.59(0.29 to 0.92)$ $0.24 (0.07 to 0.45)$ $<0.001$ Lacunes, n (%) $22 (11.5)$ $35 (18.3)$ $13 (6.8)$ $0.062$ CMBs, n (%) <sup>a</sup> $16 (10.1)$ $53 (33.3)$ $37 (23.3)$ $<0.001$ Extensive ePVS, n (%) $23 (12.0)$ $54 (28.3)$ $31 (16.2)$ $<0.001$ SVD score $\geq 2$ , n(%) <sup>a</sup> $17(10.7)$ $49(30.8)$ $32(20.1)$ $<0.001$ MMSE $29 (28 to 30)$ $29 (27 to 29)$ $-1(-2 to 0)$ $<0.001$ Memory $0.31(-0.36 to 0.88)$ $-0.32(-0.89 to 0.23)$ $-0.61 (-1.24 to -0.02)$ $<0.001$ Language $0.36 (-0.07 to 0.57)$ $0.25 (0 to 0.47)$ $0(-0.22 to 0.22)$ $0.474$ Spatial construction $0.11 (-0.61 to 0.83)$ $0(-0.61 to 0.59)$ $-0.24 (-0.96 to 0.24)$ $0.033$ Attention $-0.02(-0.54 to 0.60)$ $-0.34(-0.90 to 0.11)$ $-0.34 (-0.92 to 0.50)$ $<0.001$ Executive function $0.07 (-0.09 to 0.23)$ $0.07(-0.25 to 0.23)$ $0(-0.32 to 0)$ $0.328$	Lipid lowering	7(3.7)	36(18.9)	29(15.2)	<0.001
CSVD markersWMH volume, %, meidan (IQR) $0.29(0.15 \text{ to} 0.52)$ $0.59(0.29 \text{ to} 0.92)$ $0.24 (0.07 \text{ to} 0.45)$ $<0.001$ Lacunes, n (%) $22 (11.5)$ $35 (18.3)$ $13 (6.8)$ $0.062$ CMBs, n (%) <sup>a</sup> 16 (10.1) $53 (33.3)$ $37 (23.3)$ $<0.001$ Extensive ePVS, n (%) $23 (12.0)$ $54 (28.3)$ $31 (16.2)$ $<0.001$ SVD score $\geq 2$ , n(%) <sup>a</sup> 17 (10.7) $49 (30.8)$ $32 (20.1)$ $<0.001$ MMSE $29 (28 \text{ to} 30)$ $29 (27 \text{ to} 29)$ $-1 (-2 \text{ to} 0)$ $<0.001$ Memory $0.31 (-0.36 \text{ to} 0.88)$ $-0.32 (-0.89 \text{ to} 0.23)$ $-0.61 (-1.24 \text{ to} -0.02)$ $<0.001$ Language $0.36 (-0.07 \text{ to} 0.57)$ $0.25 (0 \text{ to} 0.47)$ $0 (-0.22 \text{ to} 0.22)$ $0.474$ Spatial construction $0.11 (-0.61 \text{ to} 0.83)$ $0 (-0.61 \text{ to} 0.59)$ $-0.24 (-0.96 \text{ to} 0.24)$ $0.033$ Attention $-0.02 (-0.54 \text{ to} 0.60)$ $-0.34 (-0.92 \text{ to} 0.50)$ $<0.001$ Executive function $0.07 (-0.09 \text{ to} 0.23)$ $0.7 (-0.25 \text{ to} 0.23)$ $0 (-0.32 \text{ to} 0.50)$	Antiplatelet / anticoagulation	33(17.3)	31(16.2)	-2(1.1)	0.784
WMH volume, %, meidan (IQR) $0.29(0.15 \text{ to} 0.52)$ $0.59(0.29 \text{ to} 0.92)$ $0.24 (0.07 \text{ to} 0.45)$ $<0.001$ Lacunes, n (%) $22 (11.5)$ $35 (18.3)$ $13 (6.8)$ $0.062$ CMBs, n (%) <sup>a</sup> $16 (10.1)$ $53 (33.3)$ $37 (23.3)$ $<0.001$ Extensive ePVS, n (%) $23 (12.0)$ $54 (28.3)$ $31 (16.2)$ $<0.001$ SVD score $\geq 2$ , n(%) <sup>a</sup> $17 (10.7)$ $49 (30.8)$ $32 (20.1)$ $<0.001$ Cognition, median(IQR) $29 (28 \text{ to} 30)$ $29 (27 \text{ to} 29)$ $-1 (-2 \text{ to} 0)$ $<0.001$ MMSE $29 (28 \text{ to} 30)$ $29 (27 \text{ to} 29)$ $-0.61 (-1.24 \text{ to} -0.02)$ $<0.001$ Memory $0.31 (-0.36 \text{ to} 0.88)$ $-0.32 (-0.89 \text{ to} 0.23)$ $-0.61 (-1.24 \text{ to} -0.02)$ $<0.001$ Language $0.36 (-0.07 \text{ to} 0.57)$ $0.25 (0 \text{ to} 0.47)$ $0 (-0.22 \text{ to} 0.22)$ $0.474$ Spatial construction $0.11 (-0.61 \text{ to} 0.83)$ $0 (-0.61 \text{ to} 0.59)$ $-0.24 (-0.96 \text{ to} 0.24)$ $0.033$ Attention $-0.02 (-0.54 \text{ to} 0.60)$ $-0.34 (-0.90 \text{ to} 0.11)$ $-0.34 (-0.92 \text{ to} 0.50)$ $<0.001$ Executive function $0.07 (-0.09 \text{ to} 0.23)$ $0.07 (-0.25 \text{ to} 0.23)$ $0 (-0.32 \text{ to} 0.50)$ $<0.001$	CSVD markers				
Lacunes, n (%)22 (11.5) $35 (18.3)$ $13 (6.8)$ $0.062$ CMBs, n (%) <sup>a</sup> 16 (10.1) $53 (33.3)$ $37 (23.3)$ $<0.001$ Extensive ePVS, n (%) $23 (12.0)$ $54 (28.3)$ $31 (16.2)$ $<0.001$ SVD score $\geq 2$ , n(%) <sup>a</sup> 17(10.7) $49(30.8)$ $32(20.1)$ $<0.001$ Cognition, median(IQR) $29 (28 to 30)$ $29 (27 to 29)$ $-1(-2 to 0)$ $<0.001$ MMSE29 (28 to 30) $29 (27 to 29)$ $-0.61 (-1.24 to -0.02)$ $<0.001$ Memory $0.31(-0.36 to 0.88)$ $-0.32(-0.89 to 0.23)$ $-0.61 (-1.24 to -0.02)$ $<0.001$ Language $0.36 (-0.07 to 0.57)$ $0.25 (0 to 0.47)$ $0 (-0.22 to 0.22)$ $0.474$ Spatial construction $0.11 (-0.61 to 0.83)$ $0 (-0.61 to 0.59)$ $-0.24 (-0.96 to 0.24)$ $0.033$ Attention $-0.02(-0.54 to 0.60)$ $-0.34(-0.90 to 0.11)$ $-0.34 (-0.92 to 0.50)$ $<0.001$ Executive function $0.07 (-0.09 to 0.23)$ $0.07(-0.25 to 0.23)$ $0 (-0.32 to 0)$ $0.328$	WMH volume, %, meidan (IQR)	0.29(0.15 to 0.52)	0.59(0.29 to 0.92)	0.24 (0.07 to 0.45)	<0.001
CMBs, n (%) a16 (10.1)53 (33.3)37 (23.3)<0.001Extensive ePVS, n (%)23 (12.0)54 (28.3)31 (16.2)<0.001	Lacunes, n (%)	22 (11.5)	35 (18.3)	13 (6.8)	0.062
Extensive ePVS, n (%) $23 (12.0)$ $54 (28.3)$ $31 (16.2)$ $<0.001$ SVD score $\geq 2$ , n (%) <sup>a</sup> $17(10.7)$ $49(30.8)$ $32(20.1)$ $<0.001$ Cognition, median(IQR)MMSE $29 (28 to 30)$ $29 (27 to 29)$ $-1(-2 to 0)$ $<0.001$ Memory $0.31(-0.36 to 0.88)$ $-0.32(-0.89 to 0.23)$ $-0.61 (-1.24 to -0.02)$ $<0.001$ Language $0.36 (-0.07 to 0.57)$ $0.25 (0 to 0.47)$ $0 (-0.22 to 0.22)$ $0.474$ Spatial construction $0.11 (-0.61 to 0.83)$ $0 (-0.61 to 0.59)$ $-0.24 (-0.96 to 0.24)$ $0.033$ Attention $-0.02(-0.54 to 0.60)$ $-0.34(-0.90 to 0.11)$ $-0.34 (-0.92 to 0.50)$ $<0.001$ Executive function $0.07 (-0.09 to 0.23)$ $0.07(-0.25 to 0.23)$ $0 (-0.32 to 0)$ $0.328$	CMBs, n (%) <sup>a</sup>	16 (10.1)	53 (33.3)	37 (23.3)	<0.001
SVD score $\geq 2$ , n(%) <sup>a</sup> 17(10.7)49(30.8)32(20.1)<0.001Cognition, median(IQR)MMSE29 (28 to 30)29 (27 to 29) $-1(-2 to 0)$ <0.001	Extensive ePVS, n (%)	23 (12.0)	54 (28.3)	31 (16.2)	<0.001
Cognition, median(IQR)       29 (28 to 30)       29 (27 to 29)       -1(-2 to 0)       <0.001         MMSE       0.31(-0.36 to 0.88)       -0.32(-0.89 to 0.23)       -0.61 (-1.24 to -0.02)       <0.001	SVD score≥2, n(%) <sup>a</sup>	17(10.7)	49(30.8)	32(20.1)	<0.001
MMSE29 (28 to 30)29 (27 to 29)-1(-2 to 0)<0.001Memory0.31(-0.36 to 0.88)-0.32(-0.89 to 0.23)-0.61 (-1.24 to -0.02)<0.001	Cognition, median(IQR)				
Memory         0.31(-0.36 to 0.88)         -0.32(-0.89 to 0.23)         -0.61 (-1.24 to -0.02)         <0.001           Language         0.36 (-0.07 to 0.57)         0.25 (0 to 0.47)         0 (-0.22 to 0.22)         0.474           Spatial construction         0.11 (-0.61 to 0.83)         0 (-0.61 to 0.59)         -0.24 (-0.96 to 0.24)         0.033           Attention         -0.02(-0.54 to 0.60)         -0.34(-0.90 to 0.11)         -0.34 (-0.92 to 0.50)         <0.001	MMSE	29 (28 to 30)	29 (27 to 29)	-1(-2 to 0)	<0.001
Language         0.36 (-0.07 to 0.57)         0.25 (0 to 0.47)         0 (-0.22 to 0.22)         0.474           Spatial construction         0.11 (-0.61 to 0.83)         0 (-0.61 to 0.59)         -0.24 (-0.96 to 0.24)         0.033           Attention         -0.02(-0.54 to 0.60)         -0.34(-0.90 to 0.11)         -0.34 (-0.92 to 0.50)         <0.001	Memory	0.31(-0.36 to 0.88)	-0.32(-0.89 to 0.23)	-0.61 (-1.24 to -0.02)	<0.001
Spatial construction         0.11 (-0.61 to 0.83)         0 (-0.61 to 0.59)         -0.24 (-0.96 to 0.24)         0.033           Attention         -0.02(-0.54 to 0.60)         -0.34(-0.90 to 0.11)         -0.34 (-0.92 to 0.50)         <0.001	Language	0.36 (-0.07 to 0.57)	0.25 (0 to 0.47)	0 (-0.22 to 0.22)	0.474
Attention       -0.02(-0.54 to 0.60)       -0.34(-0.90 to 0.11)       -0.34 (-0.92 to 0.50)       <0.001         Executive function       0.07 (-0.09 to 0.23)       0.07(-0.25 to 0.23)       0 (-0.32 to 0)       0.328	Spatial construction	0.11 (-0.61 to 0.83)	0 (-0.61 to 0.59)	-0.24 (-0.96 to 0.24)	0.033
Executive function0.07 (-0.09 to 0.23)0.07(-0.25 to 0.23)0 (-0.32 to 0)0.328	Attention	-0.02(-0.54 to 0.60)	-0.34(-0.90 to 0.11)	-0.34 (-0.92 to 0.50)	<0.001
	Executive function	0.07 (-0.09 to 0.23)	0.07(-0.25 to 0.23)	0 (-0.32 to 0)	0.328

#### Table 1. Characteristics of the study population at baseline and follow-up (n=191).

<sup>a</sup> For ratings of CMBs, 32 participants were additionally excluded based on missing T2\*-GRE at baseline. Abbreviations: CSVD = cerebral small vessel disease; WMH = white matter hyperintensities; CMBs = cerebral microbleeds; ePVS = enlarged perivascular spaces; SVD = small vessel disease; MMSE = Mini-Mental State Examination; IQR = interquartile range.

increased decline of MMSE ( $\beta$ =-0.91, P=0.004). We did not observe any association between SVD score and change of cognitive function (Supplementary Tables 4, 5).

Forty-one (21.47%) participants developed change in cognitive diagnosis from baseline to follow-up. Among them, 36 (18.85%) participants had incident mild cognitive impairment (MCI); 4 (2.09%) participants had incident Alzheimer's disease (AD); 1 (0.52%) participant had incident vascular dementia (VaD). We did not find any association between change in cognitive diagnosis and baseline CSVD markers or progression of CSVD markers (Supplementary Tables 6, 7).

# **DISCUSSION**

In this study over a period of 7 years in a Chinese cohort over 60 years, we found that WMH were associated with progression of CSVD and decline of executive function.

Our study demonstrated that baseline WMH were associated with incident lacunes in the general population in China, which helped to fill the gap of previous community studies. Additionally, baseline lacunes were associated with change of WMH, suggesting the close relationship between WMH and

	Change of WMH (%)	Incident lacunes	Incident CMBs	ePVS Progression
	β (95%CI)	OR (95%CI)	OR (95%CI)	OR (95%CI)
Model 1				
WMH volume, per 1% increase	0.25(0.12,0.38)	3.78(1.35,10.62)	4.45(1.41,14.03)	2.98(1.22,7.28)
Lacunes, per No. increase	0.13(0.03,0.23)	3.13(1.42,6.88)	1.33(0.67,2.65)	2.11(0.94,4.70)
CMBs, per No. increase	-0.00(-0.04,0.04)	0.64(0.28,1.45)	0.96(0.64,1.44)	0.83(0.59,1.18)
ePVS, per score increase	0.03(-0.05,0.10)	0.69(0.26,1.80)	0.95(0.56,1.63)	0.23(0.12,0.44)
Model 2				
WMH volume, per 1% increase	0.25(0.13,0.38)	3.96(1.29,12.19)	4.10(1.29,13.09)	2.89(1.14,7.32)
Lacunes, per No. increase	0.11(0.01,0.21)	3.33(1.42,7.82)	1.35(0.66,2.76)	2.30(1.00,5.29)
CMBs, per No. increase	0.01(-0.03,0.05)	0.60(0.21,1.70)	0.96(0.65,1.43)	0.81(0.57,1.15)
ePVS, per score increase	0.02(-0.05,0.09)	0.71(0.26,1.93)	0.95(0.55,1.64)	0.23(0.12,0.44)
Model 3				
WMH volume, per 1% increase	0.24(0.11,0.37)	5.50(1.35,22.44)	4.60(1.39,15.23)	3.52(1.30,9.53)
Lacunes, per No. increase	0.13(0.03,0.24)	2.97(1.08,8.13)	1.34(0.62,2.89)	2.18(0.90,5.31)
CMBs, per No. increase	0.00(-0.04,0.05)	0.66(0.24,1.82)	0.91(0.63,1.33)	0.81(0.56,1.19)
ePVS, per score increase	0.03(-0.04,0.10)	0.78(0.24,2.54)	0.78(0.43,1.42)	0.20(0.10,0.41)
Model 4				
WMH volume, per 1% increase	0.23(0.10,0.36)	5.86(1.38,24.82)	4.76(1.38,16.44)	3.81(1.38,10.49)
Lacunes, per No. increase	0.13(0.03,0.23)	3.05(1.10,8.47)	1.35(0.62,2.93)	2.28(0.93,5.60)
CMBs, per No. increase	0.01(-0.03,0.05)	0.65(0.25,1.71)	0.90(0.61,1.32)	0.84(0.57,1.23)
ePVS, per score increase	0.03 (-0.05,0.10)	0.77(0.24,2.54)	0.78(0.42,1.43)	0.17(0.08,0.37)

Model 1: unadjusted. Model 2: adjusted for baseline age, sex and interval. Model 3: adjusted for baseline age, sex, interval, BMI, ApoE  $\varepsilon$ 4 carrier, current smoking, hypertension, diabetes, hyperlipidemia, cardiogenic disease. Model 4: additionally adjusted for antihypertensive, antidiabetic, lipid lowering, and antiplatelet / anticoagulation medications.

Abbreviations: CSVD = cerebral small vessel disease; WMH = white matter hyperintensities; CMBs = cerebral microbleeds; ePVS = enlarged perivascular spaces.



**Figure 1.** Individual participants' changes in WMH volume between baseline and follow-up by tertile of baseline WMH volume. All participants (n=191) were divided into three groups by tertile of baseline WMH volume, with 64 participants in T1, 64 participants in T2, and 63 participants in T3. Each line represents an individual participant, linking baseline WMH volume (left) to follow-up WMH volume (right) of each tertile column. Participants in T1 had the least WMH progression and those in T3 had the most WMH progression. WMH = white matter hyperintensities.

lacunes. One cross-sectional community study found that prevalent lacunes preferentially localized to the edge of white matter hyperintensities [17]. Another study on the etiology of incident lacunes hypothesized that WMH might convert to lacunes via an intermediate stage of a subtype of lacunes which is not cavitated yet [18]. These findings suggested potential shared pathophysiological mechanism of WMH and lacunes, and required to be further explored in future studies.

Some studies [19, 20] suggested that ePVS might be an early precursor of CSVD, but none of these studies systematically examined the associations between baseline markers and progression of them. One longitudinal study [13] observed the association between baseline ePVS and progression of CSVD markers, but the result is bidirectional, suggesting the complex temporality among these markers. In this Chinese urban community, baseline total SVD score (representing the total burden of CSVD) was associated with progression of only three markers, but baseline WMH was associated with progression of all four markers. These findings suggested WMH might play an important role in progression of CSVD and might be an early precursor of CSVD.

There might be a common underlying pathophysiological process resulting in the progression of all these markers. Blood-brain barrier (BBB) leakage hypothesis [21] pointed out that the loss of normal endothelial junction would result in leakage of plasma fluid components leading to rarefaction and demyelination of white matter (WMH), vessel wall

thickening and luminal distortion eventually leading to secondary perforating arteriolar thrombosis, luminal occlusion and traditional 'infarction' (lacunes), tendency for microbleeds to cluster in the occipital lobes due to gravity (CMBs), and the failure of interstitial fluid drainage (ePVS). Further studies are required to explore the relationship between BBB permeability and progression of CSVD markers.

Executive function has long been considered a cognitive domain affected by vascular injuries [22]. Previous studies in US and European countries [23–25] indicated that CSVD markers were associated with executive function in the general population. In this study, we only found associations between WMH (baseline and progression) and decline of executive function in the Chinese population, suggesting the important role of WMH in predicting cognitive impairment.

Strengths of this study include the longitudinal study with long follow-up duration in an urban community in China, and comprehensive assessments of cognition. In addition, WMH were assessed quantitatively by automatic segmentation.

A limitation of our study is the variation of MRI scanners from baseline to follow-up. Since 3.0T scanners are more widely used these years, we used 3.0T scanner instead of 1.5T scanner at follow-up. Theoretically, 3.0T scanner could detect more lesions compared to 1.5T scanner, which might exaggerate the progression of CSVD markers. However, since all participants underwent the same MRI scans at the same time point, change of MRI





#### Table 3. Relationships between baseline CSVD markers and change of cognitive function.

	Change of cognitive function											
	MMSE		Memory Language		guage	Spatial construction		Attention		Executive function		
	β	Р	β	Р	β	Р	β	Р	β	Р	β	Р
WMH volume, per 1% increase	-0.95	0.036	0.41	0.054	0.02	0.918	0.51	0.092	-0.07	0.770	-0.45	0.004
Lacunes, per No. increase	-0.56	0.123	-0.20	0.235	0.23	0.153	0.11	0.651	0.47	0.009	-0.04	0.734
CMBs, per No. increase	0.18	0.249	-0.03	0.643	-0.07	0.299	-0.12	0.261	-0.10	0.215	0.07	0.168
ePVS, per score increase	0.34	0.185	-0.21	0.092	0.17	0.134	-0.09	0.597	-0.06	0.642	-0.06	0.478

Adjusted for age, sex, interval, education years, ApoE ɛ4 carrier

Abbreviations: CSVD= cerebral small vessel disease; WMH = white matter hyperintensities; CMBs = cerebral microbleeds; ePVS = enlarged perivascular spaces; MMSE = Mini-Mental State Examination.

Table 4. Relationships between progression of CSVD markers and change of cognitive function.

		Change of cognitive function										
	MMSE		Memory		Language		Spatial construction		Attention		Executive function	
	β	Р	β	Р	β	Р	β	Р	β	Р	β	Р
Change of WMH volume, per 1% increase	-0.11	0.842	0.28	0.290	-0.38	0.120	0.54	0.142	-0.29	0.297	-0.50	0.010
Incident lacunes, per No. increase	-0.12	0.594	-0.04	0.746	0.10	0.329	-0.03	0.831	-0.00	0.974	0.07	0.394
Incident CMBs, per No. increase	0.09	0.318	0.00	0.997	0.02	0.686	-0.01	0.918	0.01	0.874	-0.01	0.834
ePVS progression, per score increase	-0.91	0.004	-0.04	0.782	0.09	0.491	0.05	0.805	-0.01	0.928	-0.01	0.916

Adjusted for age, sex, interval, education years, ApoE  $\epsilon$ 4 carrier

Abbreviations: CSVD= cerebral small vessel disease; WMH = white matter hyperintensities; CMBs = cerebral microbleeds; ePVS = enlarged perivascular spaces; MMSE = Mini-Mental State Examination.

resolutions could be regarded as a limited systematic error when examining the associations between baseline markers and progression of markers. Another limitation of our study is the attrition bias due to old age at baseline and long-term follow-up, probably leading to an underestimation of progression of CSVD, since those who dropped out were older and had more comorbidities. Among them, 6 participants with dementia were not able to cooperate in the follow-up examinations, inevitably leading to bias in the evaluation of cognitive impairment. However, the bias from these 6 participants was limited when considering the total sample size. Even in this relatively healthy cohort, we found baseline WMH were associated with progression of CSVD and decline of executive function.

#### **MATERIALS AND METHODS**

#### **Study population**

This study is part of the Shanghai Aging Study (SAS), which was a prospective, population-based cohort study in old people aged 60 or over in Jing'an Temple

Community, an urban community in Shanghai, China. The detailed study protocol has been published previously [26]. 350 participants without dementia and stroke underwent baseline examination in 2009 to 2011. Potential participants were excluded if they had: (1) cerebral large vessel stenosis (>50%); (2) hydrocephalus or brain tumors; (3) MRI contraindications; (4) incorporative or not able to complete the examination. In 2016 to 2018, all eligible participants were invited for a second examination. Of the 350 participants at baseline, 28 people died, and 131 people were not eligible to participate in the second examination (60 refused, 33 could not be reached, 11 moved to other communities, 9 was not able to cooperate (6 had dementia and 3 had tumor), 18 had MRI contraindications). In total, 191 of the 350 eligible participants completed repeated examinations in 2016 to 2018 with a mean interval of 6.9 years (Figure 3).

#### Ethic statements

This study was approved by the medical ethics committee of Huashan Hospital, Fudan University, Shanghai, China. Written informed consent was obtained from all participants or their legally acceptable representative.

#### MRI acquisition

At baseline (2009~2011), participants underwent head MRI scans on a 1.5-Tesla GE scanner with following sequences: T1-weighed, T2-weighed, axial fluid-attenuated inversion recovery (FLAIR), T2\*-weighted gradient recall echo (GRE), MRA sequence. Full acquisition details have been described previously [27].

At follow-up (2016~2018), repeated MRI scans on 3.0-Tesla GE scanner were conducted. The detailed parameters of sequences were as follows: 3D T1 BRAVO (flip angle 12 degrees, slice thickness 1.2mm), T2 PROPELLER (TR/TE = 7.586s/ 93.76ms, flip angle 140 degree, slice thickness 6.0mm, slice spacing 2.0mm), Cor CUBE FLAIR (TR/TE 6000/90ms, slice thickness 2.0mm), SWAN (TR/TE minimum/45ms, flip angle 15 degree, slice thickness 2.0mm), and MRA (TR/TE minimum/minimum, flip angle 20 degree, slice thickness 1.4mm).

#### **Evaluation of CSVD markers**

CSVD markers were rated according to the Standards for Reporting Vascular Changes on Neuroimaging (STRIVE) criteria [4] by two certified and registered neurologists who were blinded to clinical data, and were ascertained by a senior neurologist in case of disagreements.

WMH volume were generated by an automatic WMH segmentation method based on U-net model [28]. The

model was trained on 38 images on an 11GB GTX1080ti GPU (image sources: baseline and followup images of our study, and a public image data set of UMC Utrecht hospital [29]), using the axial slices of T2w FLAIR images as input images. Based on this model, segmentations of each image were generated automatically by 2.66 seconds. The automatic segmentations showed satisfactory correlations with manual segmentations of both baseline  $(r^2=0.9717,$ p<0.001) and follow-up images ( $r^2=0.9723$ , p<0.001) (Supplementary Figure 1). All WMH volume measurements were expressed as the percentage of that volume of the total intracranial volume (ICV), thereby adjusting for different head sizes. ICV was calculated by summing total brain volume. sulcal volume, and ventricular CSF volume. We additionally used Fazekas score (a semi-quantitative score ranging from 0 to 6) [30] for baseline WMH measurement.

Number of lacunes and CMBs were rated manually on FLAIR/T1/T2-weighted and T2\*-GRE/SWAN scans according to the STRIVE criteria [4]. Incident lacunes or CMBs were defined as new lacunes or CMBs from baseline.

Severity of ePVS was assessed in basal ganglia (BG) and centrum semiovale(CS) respectively, on one slice and at one side in the most affected hemisphere only (0 = no ePVS, 1 = 1-10 ePVS, 2 = 11-20 ePVS, 3 = 21-40ePVS, and 4 = 40 or more ePVS) according to Potter's scale [31], creating total ePVS score ranging from 0 to 8. ePVS score $\geq 2$  in BG or CS was defined as extensive ePVS [32]. ePVS progression was considered as elevation of ePVS score from baseline.





#### **Total SVD score**

Total SVD score was an ordinal scale representing the total burden of CSVD, expressed by the presence of each of the four MRI markers mentioned above. One point was awarded in case of periventricular WMH Fazekas score 3 (extending into the deep white matter), and/or in case of deep WMH Fazekas score 2 or 3 (confluent or early confluent). One point was awarded when one or more lacunes were present. One point was awarded when one or more CMBs were present. One point was awarded in case of ePVS score $\geq$ 2 in BG or CS [33]. Total burden of CSVD of each participant was assessed by SVD score, resulting in a score ranging from 0 to 4.

#### **Cognitive function**

Participants underwent neuropsychological tests at baseline and follow-up in the following domains: 1) global cognitive function: Mini-Mental State Examination (MMSE); 2) memory: Auditory Verbal Learning Test (AVLT) for participants with $\geq$ 6 years of education, Huashan Object Memory Test (HOMT) for those with < 6 years of education; 3) language: Common Objects Sorting Test (COST); 4) spatial construction: Stick Test; 5) attention: Trail Making Test (TMT) for participants with $\geq$ 6 years of education, Renminbi Test for those with < 6 years of education; 6) executive function: Conflicting Instructions Task.

Those neuropsychological tests were translated, adapted, and normed from Western countries based on the Chinese culture, and had been validated in previously published Chinese studies [34, 35]. Details of neuropsychological tests were described in Supplementary Materials. Z-score (individual test score minus mean baseline test score divided by the SD) was calculated for each cognitive domain. Change of cognitive function was expressed by change in MMSE score and change in Z-score of each cognitive domain from baseline.

We made cognitive diagnosis based on the neurologic, psychiatric, and neuropsychological data at baseline and follow-up. Mild cognitive impairment (MCI) was defined according to Petersen's criteria [36]; Alzheimer's disease (AD) was defined according to NINCDS-ADRDA criteria [37]; vascular dementia (VaD) was defined according to NINDS-AIREN criteria [38]. Change in cognitive diagnosis was defined as incident MCI, incident AD or incident VaD from baseline.

#### Vascular risk factors and medication use

Demographic, vascular risk factors, and medication use were collected via an interviewer-administered

questionnaire, and were further confirmed in patient history, which comprised age, sex, body mass index, ApoE & carrier, current smoking, hypertension, diabetes, hyperlipidemia, cardiogenic disease (atrial fibrillation and coronary artery disease), antihypertensive, antidiabetic, lipid lowering and antiplatelet/ anticoagulation medications.

#### Data analysis

Statistical analysis was performed on Stata v14.0 (StataCorp, LLC). Median (interquartile range, IQR) was used to describe continuous variables because of the non-normal distribution. Number (percentage) was used to describe categorical variables. The Mann-Whitney U test or Kruskal Wallis test was used to compare continuous variables. The Chi-square test or Fisher's exact test was used to compare categorical variables. The characteristics of the study population at baseline and follow-up were compared by Mann-Whitney U test in continuous variables, and Chi-square test or Fisher's exact test in categorical variables.

Multivariable linear regression was used to examine the associations between change of WMH volume and baseline CSVD markers, baseline SVD score. Binominal logistic regression was used to examine the associations between progression of other three markers and baseline CSVD markers, baseline SVD score. Covariables were selected from univariable analyses and with known potential clinical significance based on previous studies [2, 8, 10, 12-14]. These covariables were adjusted in multivariable models. We further plotted progression of markers by baseline WMH volume in tertile using Prism 7 Graphpad (GraphPad Software, Inc). To evaluate the impact of CSVD markers and SVD score (baseline and progression) on change of cognitive function and change in cognitive diagnosis, we used multivariable linear regression and binominal logistic regression.

All coefficients ( $\beta$ ), odds ratios, 95% confidence intervals and P values were estimated in a two-tailed manner. Differences were considered to be statistically significant at p<0.05.

# **AUTHOR CONTRIBUTIONS**

Yiqing Wang, Xin Cheng and Qiang Dong conceived the study and designed the research question. Yiwei Xia, Yi Wang, Lumeng Yang, Yiqing Wang, Jianjun Wu, Zonghui Liang and Hansheng Ding acquired the data. Yiwei Xia, Yi Shen, Yu Li, Shuguang Chu, Xiaoxiao Wang and Bensheng Qiu analyzed the data, which was discussed with Ding Ding, Xin Cheng and Qiang Dong. Yiwei Xia and Yi Shen wrote the first draft of the manuscript, and Ding Ding, Xin Cheng and Qiang Dong co-drafted the final version. Yiwei Xia, Xiaoniu Liang and Qianhua Zhao performed the statistical analysis. Qiang Dong supervised the study. All authors critically revised the manuscript and have read and approved the final manuscript and agreed to be accountable for all aspects of the work.

#### **CONFLICTS OF INTEREST**

We have no financial relationship to declare and no conflicts of interest to disclose.

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# SUPPLEMENTARY MATERIALS

#### **Supplementary Methods**

#### Semi-quantitative measurements for WMH

Since the quantitative measurement of WMH is more sensitive than those semi-quantitative measurements of other markers and might result in a bias in the conclusion, we additionally used a semi-quantitative method for baseline WMH measurement, i.e. Fazekas score. Periventricular WMH was graded as 0 = absence, 1 = "caps" or pencil-thin lining, 2 = smooth "halo", 3 =irregular PVH extending into the deep white matter. Deep WMH was rated as 0 = absence, 1 = punctate foci, 2 = beginning confluence of foci, 3 = large confluent areas. A total Fazekas score ranging from 0 to 6 was generated [1]. We found WMH was still the only marker associated with the progression of all CSVD markers even when measuring WMH by Fazekas score (Supplementary Table 2).

#### **Description of neuropsychological tests**

Based on the Chinese culture, we translated, adapted, and normed neuropsychological tests from Western countries. Because some tests require vocabulary, writing, or reading skills, we designed the neuropsychological battery according to the education level of each participant. The battery was administered in Chinese by certified study psychometrists within 90 minutes. Neuropsychological tests and domains are as follows:

- 1. MMSE was used for screening for global cognition [2].
- 2. The Auditory Verbal Learning Test (AVLT), adapted from the California Verbal Learning Test, was used to measure verbal memory of subjects with≥6 years of education. Subjects were presented 12 words over 5 trials, followed by delayed recall and recognition trials [3].
- 3. The Huashan Object Memory Test (HOMT), adapted from the Fuld Object Memory Evaluation [4], was used to measure immediate and delayed memory for subjects with <6 years of education. The test material consists of 12 common objects familiar to Chinese people. Subjects were presented with 12 words to learn over 5 trials, followed by delayed recall and recognition trials.
- 4. The Modified Common Objects Sorting Test (COST), adapted from the Object Sorting Test [5], was used to measure language. The test material consists of pictures of 42 common objects familiar

to Chinese people. Subjects were first required to name each object in the picture. Then subjects were asked to sort all the objects into 7 different groups. Subjects were then asked, "Why do all these belong together?"

- 5. The Stick Test, adapted from the Stick Construction Test [5], was used as a measure of spatial construction function. This 10-item test was first administered as a copying task. Subjects were given 4 wooden sticks and asked to copy the examiner's model exactly. Subjects were asked to recall and construct the previous pattern after copying the current one. After the 10 designs were copied, the reversal condition was administered in which subjects were asked to construct the reverse pattern of the examiner's model.
- 6. Trail Making Tests (TMT) A and B, adapted from a subtest of the Halstead–Reitan neuropsychological battery [6], were used for subjects with ≥6 years of education. Subjects were required to connect 25 consecutive targets with numbers inside squares or circles on a sheet of paper. There were 2 parts of the test: A, in which the targets were all numbers, and subjects needed to connect them in sequential order (1, 2, 3, etc.); and B, in which subjects were asked to connect numbers in sequential order with the alternation of square and circle ("1" in a square, "2" in a circle, etc.). This test measures attention function.
- 7. The Renminbi (official currency of China) Test, translated from the EURO Test [7], was used to measure attention function in subjects with <6 years of education. After assessing the subject's knowledge of different coins and bills, the subject performed 5 arithmetical tasks of increasing difficulty with 11 coins (counting, making change, adding, dividing by 2, and dividing by 3). After a distraction task, the subject was asked to recall the number and type of coins used before, and the total amount of money involved.</p>
- 8. The Conflicting Instructions Task (Go/No-Go Task), adapted from part of the Frontal Assessment Battery [8], was used to measure executive function. First, subjects were asked to tap fingers following the conflicting instructions (sensitivity to interference): "tap twice when I tap once," and "tap once when I tap twice." Then subjects tapped fingers according to the series of "1-1-2-1-2-2-2-1-

1-2/-1-2-2-1-1-2-1-2-2-1," when performed by the examiner. In addition, subjects were asked to do "Go/No-Go" (inhibitory control): "tap once when I tap once," and "do not tap when I tap twice." Subjects tapped fingers according to the series of "2-1-2-1-1-2-2-1-1-2/-1-2-1-2-2-1," performed by the examiner.

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# **Supplementary Figure**



**Supplementary Figure 1. Validation of automatic segmentation.** (A) Manual and automatic segmentation of one case: original T2w FLAIR image, manual segmentation of WMH (red), and automatic segmention of WMH (green and blue). Green area represented the overlap between manual and automatic segmentation. (B) Pearson's correlation between manual and automatic segmentation of 27 cases. Red dots represented baseline WMH volume, and green triangles represented follow-up WMH volume. Dashed line represented a 1:1 relation. WMH = white matter hyperintensities.

# **Supplementary Tables**

Supplementary T	Table 1. Baseline	characteristics of	all individuals (n=350).
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	Total (n=350)	Followed (n=191)	Not followed (n=131)	Death (n=28)	Р
Demographics					
Age, y, median (IQR)	69.0(63.3 to 73.6)	68.1(63 to 72.6)	70(63.9 to 74.6)	71.9(66.3 to 74.9)	0.013
Sex, male, n (%)	159(45.4)	83(43.5)	61(46.6)	15(53.6)	0.572
Education, y, median (IQR)	12(9 to 14)	12(9 to 15)	12(9 to 14)	12(4.5 to 12)	0.088
Vascular risk factors					
Body mass index, kg/m <sup>2</sup> , median (IQR)	24.6(22.4 to 27.2)	24.5(21.9 to 27.3)	25.1(22.9 to 27.3)	23.9(22.4 to 26.4)	0.457
ApoE ɛ4 carriers, n(%)	48(14.0)	26(14.0)	18(13.8)	4(14.3)	0.998
Current smoking, n (%)	44(12.6)	21(11.0)	15(11.5)	8(28.6)	0.029
Hypertension, n (%)	186(53.1)	90(47.1)	78(59.5)	18(64.3)	0.042
Diabetes, n (%)	47(13.4)	20(10.5)	21(16.0)	6(21.4)	0.154
Hyperlipidemia, n (%)	133(38.0)	74(38.7)	50(38.2)	9(32.1)	0.797
Cardiogenic disease, n (%)	41(11.7)	19(9.9)	16(12.0)	6(21.4)	0.206
Medication use, n (%)					
Antihypertensive	172(49.1)	94(49.2)	61(46.6)	17(60.7)	0.397
Antidiabetic	41(11.7)	23(12.0)	12(9.2)	6(21.4)	0.182
Lipid lowering	18(5.1)	14(7.3)	3(2.3)	1(3.6)	0.120
Antiplatelet / anticoagulation	69(19.7)	48(25.1)	20(15.3)	1(3.6)	0.006
CSVD markers					
WMH volume, %, median (IQR)	0.38(0.18 to 0.78)	0.29(0.15 to 0.59)	0.46(0.22 to 0.93)	0.93(0.43 to 1.43)	<0.001
Lacunes, n (%)	56(16.0)	22(11.5)	29(22.1)	5(17.9)	0.024
CMBs, n (%) <sup>a</sup>	44(13.8)	16(10.1)	23(17.6)	5(17.9)	0.301
Extensive ePVS, n (%)	52(14.9)	23(12.0)	24(18.3)	5(17.9)	0.267
SVD score≥2, n(%) <sup>a</sup>	56(17.7)	17(10.7)	33(25.4)	6(21.4)	0.004
Cognition, median (IQR)					
MMSE	29(28 to 30)	29(28 to 30)	29(27 to 30)	29.5(26.5 to 30)	0.034
Memory	0.06(-0.66 to 0.70)	0.31(-0.36 to 0.88)	-0.29(-0.88 to 0.50)	-0.09(-0.84 to 0.42)	<0.001
Language	0.25(-0.07 to 0.47)	0.36(-0.07 to 0.57)	0.14(-0.29 to 0.47)	-0.07(-0.72 to 0.31)	0.001
Spatial construction	-0.13(-0.61 to 0.59)	0.11(-0.61 to 0.83)	-0.13(-0.85 to 0.59)	-0.61(-1.33 to 0.35)	<0.001
Attention	-0.18(-0.80 to 0.57)	-0.02(-0.54 to 0.60)	-0.42(-1.06 to 0.14)	-0.60(-1.14 to 0.04)	<0.001
Executive function	0.07(-0.09 to 0.23)	0.07(-0.09 to 0.23)	0.07(-0.09 to 0.23)	-0.01(-0.25 to 0.23)	0.344

<sup>a</sup> For ratings of CMBs, 32 participants were additionally excluded based on missing T2\*-GRE at baseline.

Abbreviations: WMH = white matter hyperintensities; CMBs = cerebral microbleeds; ePVS = enlarged perivascular spaces; IQR = interquartile range CSVD = cerebral small vessel disease; SVD = small vessel disease; MMSE = Mini-Mental State Examination.

	Change of WMH (%)	Incident lacunes	Incident CMBs	ePVS Progression
	β (95%CI)	OR (95%CI)	OR (95%CI)	OR (95%CI)
Model 1				
WMH Fazekas, per score increase	0.10(0.05,0.15)	2.14(1.20,3.79)	1.92(1.29,2.84)	1.63(1.10,2.42)
Lacunes, per No. increase	0.12(0.02,0.23)	2.99(1.35,6.61)	1.28(0.63,2.59)	2.07(0.93,4.57)
CMBs, per No. increase	0.01(-0.03,0.06)	0.65(0.29,1.50)	1.02(0.71,1.48)	0.88(0.63,1.23)
ePVS, per score increase	0.03(-0.05,0.10)	0.75(0.30,1.89)	0.94(0.55,1.61)	0.22(0.11,0.44)
Model 2				
WMH Fazekas, per score increase	0.11(0.06,0.17)	2.15(1.16,3.99)	1.84(1.23,2.75)	1.55(1.03,2.34)
Lacunes, per No. increase	0.09(-0.01,0.19)	3.04(1.30,7.09)	1.27(0.61,2.66)	2.21(0.97,5.03)
CMBs, per No. increase	0.02(-0.02,0.06)	0.64(0.25,1.62)	1.04(0.72,1.50)	0.87(0.62,1.22)
ePVS, per score increase	0.02(-0.05,0.09)	0.75(0.29,1.95)	0.93(0.54,1.61)	0.22(0.11,0.44)
Model 3				
WMH Fazekas, per score increase	0.11(0.05,0.16)	3.06(1.38,6.77)	1.81(1.19,2.74)	1.64(1.06,2.54)
Lacunes, per No. increase	0.11(0.01,0.22)	2.39(0.85,6.70)	1.24(0.57,2.73)	2.01(0.83,4.87)
CMBs, per No. increase	0.02(-0.02,0.06)	0.73(0.34,1.58)	1.02(0.72,1.44)	0.90(0.62,1.30)
ePVS, per score increase	0.03(-0.04,0.10)	0.74(0.20,2.71)	0.79(0.43,1.44)	0.20(0.09,0.42)
Model 4				
WMH Fazekas, per score increase	0.10(0.05,0.15)	3.15(1.40,7.12)	1.90(1.24,2.92)	1.69(1.08,2.65)
Lacunes, per No. increase	0.11(0.01,0.22)	2.38(0.84,6.73)	1.24(0.56,2.75)	2.08(0.85,5.06)
CMBs, per No. increase	0.02(-0.02,0.07)	0.72(0.34,1.53)	0.99(0.69,1.42)	0.93(0.64,1.36)
ePVS, per score increase	0.03 (-0.05,0.10)	0.73(0.20,2.69)	0.78(0.42,1.44)	0.18(0.08,0.38)

Supplementary Table 2. Relationships between baseline CSVD markers (semi-quantitative) and progression of CSVD markers.

Model 1: unadjusted. Model 2: adjusted for baseline age, sex and interval. Model 3: adjusted for baseline age, sex, interval, BMI, ApoE  $\varepsilon$ 4 carrier, current smoking, hypertension, diabetes, hyperlipidemia, cardiogenic disease. Model 4: additionally adjusted for antihypertensive, antidiabetic, lipid lowering, and antiplatelet / anticoagulation medications.

Abbreviations: CSVD = cerebral small vessel disease; WMH = white matter hyperintensities; CMBs = cerebral microbleeds; ePVS = enlarged perivascular spaces.

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	Change of WMH (%)	Incident lacunes	Incident CMBs	ePVS Progression
	β (95%CI)	OR (95%CI)	OR (95%CI)	OR (95%CI)
SVD score, per score increase				
Model 1	0.12(0.06,0.18)	1.89(1.13,3.17)	2.20(1.44,3.36)	1.25(0.86,1.81)
Model 2	0.13(0.07,0.18)	1.83(1.06,3.18)	2.11(1.36,3.28)	1.20(0.81,1.77)
Model 3	0.14(0.07,0.20)	3.57(1.53,8.33)	2.06(1.28,3.29)	1.37(0.89,2.09)
Model 4	0.14(0.08,0.20)	3.67(1.57,8.57)	2.14(1.32,3.45)	1.42(0.92,2.19)

Model 1: unadjusted. Model 2: adjusted for baseline age, sex and interval. Model 3: adjusted for baseline age, sex, interval, BMI, ApoE ε4 carrier, current smoking, hypertension, diabetes, hyperlipidemia, cardiogenic disease. Model 4: additionally adjusted for antihypertensive, antidiabetic, lipid lowering, and antiplatelet / anticoagulation medications.

Abbreviations: SVD = small vessel disease; CSVD = cerebral small vessel disease; WMH = white matter hyperintensities; CMBs = cerebral microbleeds; ePVS = enlarged perivascular spaces.

#### Supplementary Table 4. Relationships between baseline SVD score and change of cognitive function.

	_	Change of cognitive function										
	MN	MMSE N		Memory I		Language		Spatial construction		ention	Executive function	
	β	Р	β	Р	β	Р	β	Р	β	Р	β	Р
SVD score, per score increase	-0.36	0.083	-0.10	0.328	0.01	0.908	0.19	0.171	0.11	0.298	-0.14	0.061

Adjusted for age, sex, interval, education years, ApoE ɛ4 carrier.

Abbreviations: SVD = small vessel disease; MMSE = Mini-Mental State Examination.

#### Supplementary Table 5. Relationships between progression of SVD score and change of cognitive function.

		Change of cognitive function										
	MMSE		Mer	Memory Language		guage	Spatial construction		Attention		Executive function	
	β	Р	β	Р	β	Р	β	Р	β	Р	β	Р
SVD score progression, per score increase	-0.12	0.558	0.04	0.630	0.02	0.822	0.27	0.015	0.01	0.953	-0.14	0.053

Adjusted for age, sex, interval, education years, ApoE ɛ4 carrier.

Abbreviations: SVD = small vessel disease; MMSE = Mini-Mental State Examination.

#### Supplementary Table 6. Relationships between baseline CSVD markers and change in cognitive diagnosis.

Change in cognitive diagnosis						
	OR	95%CI	P value			
WMH volume, per 1% increase	1.63	0.64,4,16	0.304			
Lacunes, per No. increase	1.68	0.80,3.52	0.172			
CMBs, per No. increase	0.55	0.16,1.86	0.333			
ePVS, per score increase	1.11	0.58,2.14	0.752			

Adjusted for age, sex, interval, education years, ApoE ε4 carrier.

Abbreviations: CSVD = cerebral small vessel disease; WMH = white matter hyperintensities; CMBs = cerebral microbleeds; ePVS = enlarged perivascular spaces; OR = odds ratio; CI = confidence interval.

#### Supplementary Table 7. Relationships between progression of CSVD markers and change in cognitive diagnosis.

Change in cognitive diagnosis			
	OR	95%CI	P value
Change of WMH volume, per 1% increase	1.47	0.43,5.06	0.543
Incident lacunes, per No. increase	1.03	0.63,1.68	0.912
Incident CMBs, per No. increase	0.90	0.71,1.15	0.408
ePVS progression, per score increase	1.44	0.72,2.91	0.306

Adjusted for age, sex, interval, education years, ApoE ɛ4 carrier.

Abbreviations: CSVD = cerebral small vessel disease; WMH = white matter hyperintensities; CMBs = cerebral microbleeds; ePVS = enlarged perivascular spaces; OR = odds ratio; CI = confidence interval.